



EAG

МЕЖДУНАРОДНЫЙ УЧЕБНО-МЕТОДИЧЕСКИЙ ЦЕНТР
МУМЦФМ
ФИНАНСОВОГО МОНИТОРИНГА

**EAG/ITMCFM 4th INTERNATIONAL WORKSHOP
«EFFECTIVE SUPERVISION AS A MECHANISM FOR ENSURING THE NON-FINANCIAL
BUSINESSES AND PROFESSIONS SECTOR'S INVOLVEMENT IN AML/CFT SYSTEM»**

CONSULTATIONS WITH THE PRIVATE SECTOR

13-14 September 2018, St. Petersburg, Russia





MISSION STATEMENT:

*To share experiences in order to improve the operational efficiency of supervisors and the non-financial businesses and professions sector.
To promote the use of new technologies in communication between the private and public sectors*

INTERNATIONAL WORKSHOP

for FIUs and supervisors of the EAG member-states and Consultations with the private sector is a forum for discussing and analyzing trends and sharing experiences in undertaking supervisory measures, evaluating the effectiveness of adopted measures and promoting AML/CFT cooperation with the private sector. Each year, AML/CFT supervisors from Eurasia as well as leading experts of financial and non-financial institutions' compliance departments attend the workshop.

PARTICIPATIONS:

*Representatives of FI and NFBP supervisors of the EAG member-states and FIUs;
Representatives of the private sector (day 2 of the workshop)*

MODERATORS:

*Representatives of the Rosfinmonitoring and the
International Training and Methodology Center for Financial Monitoring*

EAG/ITMCFM 4th INTERNATIONAL WORKSHOP
St. Petersburg, September 13-14, 2018



EAG/ITMCFM 4th international workshop
“Effective supervision as a mechanism for ensuring
the non-financial businesses and professions sector's involvement in AML/CFT system:

Plenary meeting

Main challenges hindering effective supervision of the DNFBP sector. Ways to improve the role of non-financial businesses and professions in prevention efforts

Simulation exercise

Simulation exercise "ML/TF risks faced by the NFBP sector. Risk management mechanisms: supervision and preventive measures"

Consultations with the private sector
on managing the risks faced by non-financial businesses and professions:

Section 1

Sector-specific risks: identification and management

Section 2

Experience of using information and analytical technologies for AML/CFT purposes (big data, RegTech projects, remote monitoring, KYC, transaction scoring, etc.)

Section 3

AML/CFT trainings: new technologies and knowledge accessibly and revision





SPECIAL GUESTS:



Hao Jinghua

*Chair
of the Eurasian Group on Combating Money
Laundering and Financing of Terrorism
(EAG) and Deputy Director General of the
the AML Bureau of the People's Bank of
China*



Yury Chikhanchin

*Deputy Chair
of the Eurasian Group on Combating
Money Laundering and Financing of
Terrorism (EAG) and Director of the
Federal Financial Monitoring Service*

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Day 1: Thursday, 13 September 2018

08:30-09:00	Registration of participants
09:00-09:20	<p>Welcoming remarks:</p> <p>Hao Jinghua, Chair of the Eurasian Group on Combating Money Laundering and Financing of Terrorism (EAG) and Deputy Director General of the Anti-Money Laundering Bureau of the People's Bank of China.</p> <p>Yury Chikhanchin, Deputy Chair of the Eurasian Group on Combating Money Laundering and Financing of Terrorism (EAG) and Director of the Federal Financial Monitoring Service.</p>
<p>Section 1. Main challenges hindering effective supervision of the NFPB sector. Ways to improve the role of non-financial businesses and professions in prevention efforts.</p> <p>Moderators: G V. Bobrysheva, A M. Kuryanov (Rosfinmonitoring)</p> <ul style="list-style-type: none"> - 4th round mutual evaluation review of the results of the assessment of the effectiveness of non-financial businesses and professions' supervisory and preventive measures (common problems, examples of best practices: CDD, beneficiary identification, PEPs, STR quality and feedback); - NFBP sector risk assessment experience (the relationship between national and sectoral risk assessments, best practices in building a risk management system); - AML/CFT supervision KPI <p>Attendees: MONEYVAL experts, representatives of FIUs and supervisors of the EAG member-states</p>	
09:20-10:55	<p>Key Shortcomings and Best Practices in Meeting IO 3 by NFBPs and Mutual Evaluation Experience</p> <p style="text-align: center;">Michael Stellini, Deputy Executive Secretary of MONEYVAL Jeremie Ogé, Administrator of the MONEYVAL Secretariat</p>
	Question-and-answer session
10:55-11:10	<p>National ML Risk Assessment: Organization, Participants and Findings</p> <p style="text-align: center;">Andrey Frolov, Deputy Head of Department, Rosfinmonitoring</p>
	Experts' comments. Question-and-answer session
11:10-11:30	Coffee break

11:30-11:45	<i>Experience in conducting a national risk assessment in financial and non-financial sectors and effective supervision</i> <i>Liu Rui, Head of Section, AML Bureau, People's Bank of China (as agreed)</i>
	Experts' comments. Question-and-answer session
11:45-12:05	<i>Efforts to Combat Money Laundering and the Financing of Terrorism and Proliferation in Belarus's Gambling Industry</i> <i>Larisa Shibko, Head of the Main Department for the Sale of Goods and Services, Ministry of Taxes and Duties of Belarus</i> <i>Marina Nevinskaya, Head of the Gambling Directorate, Main Directorate for the Sale of Goods and Services, Ministry of Taxes and Duties of Belarus</i>
	Experts' comments. Question-and-answer session
12:05-12:25	<i>Auditors and Professional Accountants in the AML/CFT System: Effectiveness Issues</i> <i>Leonid Schneidman, Director of the Department of Accounting Regulation, Financial Reporting and Auditing</i>
12:25-12:45	<i>Supervision of Notaries Performing Notarial Actions with Currency and (or) Other Assets in Kazakhstan</i> <i>Zangar Shyndaly, Chief Expert of the Financial Monitoring Committee, Ministry of Finance of Kazakhstan</i>
	Experts' comments. Question-and-answer session
12:45-13:05	<i>Experience in Conducting an ML/TF Risk Assessment in the Segment of Real Estate Agents</i> <i>Alexander M. Kuryanov, Deputy Head of Rosfinmonitoring's Directorate of Supervision</i>
13:05-13:25	<i>AML/CFT Supervision in the NFBP Sector</i> <i>Sona Suvaryan, Analyst of the Analytical Department, Financial Monitoring Center, Central Bank of Armenia</i> <i>Maria Galstyan, Methodologist-Lawyer of the Legal Support Section, Financial Monitoring Center, Central Bank of Armenia</i>
	Experts' comments. Question-and-answer session



13:25-14:25	Lunch break
Section 2. Simulation exercise "ML/TF Risks Faced by the NFBP Sector" Risk Management Mechanisms: Supervision and Preventive Measures	
Target teams:	
<ul style="list-style-type: none">- Dealers in precious metals and stones. Moderator – a representative of the Kyrgyz delegation (as agreed);- Real estate agents. Moderator – a representative of the Tajik delegation (as agreed);- Gambling establishments (casinos). Moderator – a representative of the Kazakh delegation (as agreed);- Auditors and persons providing accounting services. Moderator – a representative of the Turkmen delegation (as agreed);- Notaries, lawyers and persons providing legal services. Moderator – a representative of the Uzbek delegation (as agreed);	
Attendees: Representatives of FIUs and supervisors of the EAG member-states	
14:25-15:15	Setting objectives for the simulation exercise and forming groups from participants. Group work to meet the simulation exercise objectives.
15:15-16:30	Presentation by each group of its results and their discussion



Day 2: Friday, 14 September 2018



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10:35-10:50	<i>Efforts to Combat Money Laundering and the Financing of Terrorism and Proliferation in Belarus's Postal Operators</i> a representative of a postal service provider (Belarus) a representative of a postal service provider (Russia)
10:50-11:05	<i>Managing the ML/TF Risks Associated with Real Estate Transactions</i> a representative of a real estate agency (Russia)
Experts' comments. Question-and-answer session	
11:05-11:20	Coffee break
<i>Section 2. Experience of Using Information and Analytical Technologies for AML/CFT Purposes (big data, RegTech projects, remote monitoring, KYC, transaction scoring, etc.)</i> <i>Reports by representatives of the EAG private sector (new speakers are added to the list upon request)</i>	
11:20-11:40	<i>Experience of Application of Emerging Technologies to Improve Compliance</i> Cao Jialin, Head of Section, Shanghai Gold Exchange (as agreed)
11:40-12:00	<i>Experience of Application of Information and Analytical Products to Improve Compliance</i> a representative of an information and analytical agency (Russia)
Experts' comments. Question-and-answer session	
<i>Section 3. AML/CFT Trainings: Emerging Technologies and Knowledge Accessibly and Revision</i>	
12:00-12:20	<i>New AML/CFT Training Techniques and Formats for Private Sector Representatives</i> Oleg Ivanov, Deputy General Director of the International Training and Methodology Center for Financial Monitoring
12:20-13:00	<i>Discussion of recommendations based on the workshop outcomes, presentation of ITMCFM certificates to the participants</i>
13:00-14:00	Lunch break
14:00-16:30	<i>A round table for representatives of CIS FIUs and supervisors (without the participation of private sector representatives, by invitation)</i>





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